

03100

BIOTECHNOLOGY
SYSTEMS
BRANCH



O/PF
CRF Diskette Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following CRF diskette:

Application Serial Number:

09/578, 076

Filing Date:

3/3/2000

Classification:

✓

Date Processed by STIC:

3/22/2000

Point-of-Contact/Telephone No.: Mark Spencer 703 - 308 - 4212

Nature of Problem:

The diskette was:

☐ Damaged

☐ Unreadable

☐ Blank (no files present on diskette)

☐ Contained an empty file (filename present, but no bytes in file)

☐ A computer virus was detected on the diskette. The STIC will not process the diskette through the Data Capture System.

Name of virus: _____

☐ The Sequence Listing was not saved in ASCII (DOS) text.

The diskette contained an error that disrupted normal processing:

Two diskettes were submitted. Each diskette contained files of the sequence each. No sequence listing was submitted. Per 1.824(a)(2)

of Sequence Rules, "The computer readable form shall contain a single 'Sequence Listing'..." Do not submit individual sequence files.

See sample sequence listing

Notice to Comply	Application No.	Applicant(s)	
	09/518,076	Shapiro	
	Examiner	Art Unit	
	HILL	1648	

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: see 37 CFR 1.821(d)

Applicant Must Provide:

- ☐ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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